

Late acquisition of mitochondria by a host with chimaeric prokaryotic ancestry

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The origin of eukaryotes stands as a major conundrum in biology¹. Current evidence indicates that the last eukaryotic common ancestor already possessed many eukaryotic hallmarks, including a complex subcellular organization^{1–3}. In addition, the lack of evolutionary intermediates challenges the elucidation of the relative order of emergence of eukaryotic traits. Mitochondria are ubiquitous organelles derived from an alphaproteobacterial endosymbiont⁴. Different hypotheses disagree on whether mitochondria were acquired early or late during eukaryogenesis⁵. Similarly, the nature and complexity of the receiving host are debated, with models ranging from a simple prokaryotic host to an already complex proto-eukaryote^{1,3,6,7}. Most competing scenarios can be roughly grouped into either mito-early, which consider the driving force of eukaryogenesis to be mitochondrial endosymbiosis into a simple host, or mito-late, which postulate that a significant complexity predated mitochondrial endosymbiosis³. Here we provide evidence for late mitochondrial endosymbiosis. We use phylogenomics to directly test whether proto-mitochondrial proteins were acquired earlier or later than other proteins of the last eukaryotic common ancestor. We find that last eukaryotic common ancestor protein families of alphaproteobacterial ancestry and of mitochondrial localization show the shortest phylogenetic distances to their closest prokaryotic relatives, compared with proteins of different prokaryotic origin or cellular localization. Altogether, our results shed new light on a long-standing question and provide compelling support for the late acquisition of mitochondria into a host that already had a proteome of chimaeric phylogenetic origin. We argue that mitochondrial endosymbiosis was one of the ultimate steps in eukaryogenesis and that it provided the definitive selective advantage to mitochondria-bearing eukaryotes over less complex forms.

Previous analyses infer a last eukaryotic common ancestor (LECA) proteome of diverse phylogenetic origin^{1,8}. Notably, only a fraction of the proteins of bacterial descent can be traced back to Alphaproteobacteria, the group from which mitochondria originated⁴. Attempts to explain alternative bacterial signals in LECA range from invoking horizontal gene transfer (HGT), phylogenetic noise or additional symbiotic partners^{9,10}, including the possibility that part of this diversity could have already been present in the putative archaeal host¹¹. Resolving whether LECA proteins of bacterial descent were acquired in bulk is key to testing competing eukaryogenesis models. Here, we set out to assess whether the LECA proteins with alphaproteobacterial ancestry show distinct patterns in terms of their current cellular localizations, and evolutionary distances to their closest ancestors, compared with LECA proteins of other descent. For this, we surveyed the phylogenetic signal of inferred LECA proteomes (see Methods). First, the likely phylogenetic origin of each LECA family was assessed by evaluating the taxonomic distribution of prokaryotic sequences present in its closest neighbouring tree partition (see Methods and Fig. 1a). We then established a measure of phylogenetic distance for the branch subtending the

LECA family and connecting it to the last prokaryotic ancestor shared with its closest prokaryotic relatives (raw stem length; Fig. 1a). Branch lengths indicate the number of inferred substitutions per site, which reflect both divergence time and evolutionary rate. To disentangle time from rates, which may vary across families, we normalized the raw stem length by taking into account the median of the branch lengths within the LECA family (see Methods for further details). We used this measurement (hereafter referred to as stem length) as a proxy for the phylogenetic distance between a given LECA protein family and its last shared ancestor with prokaryotes. Competing mito-early and mito-late hypotheses naturally differ in their expectations of stem lengths for proteins of proto-mitochondrial origin compared with those of other putative origins. In a simple fusion model, with the proto-mitochondrion contributing most of the bacterial component, one would expect

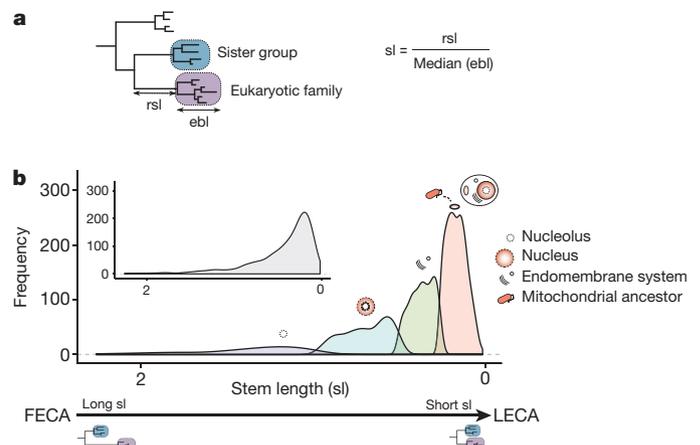


Figure 1 | Stem length analysis. **a**, Schematic representation of the inference of the phylogenetic origin of LECA groups and the measured phylogenetic distances. First monophyletic groups of eukaryotic proteins that passed the required thresholds were considered as protein families present in LECA (purple box). The taxonomic range of the proteins present in the closest neighbouring tree partition (sister group, blue box) was used to define the putative phylogenetic origin of the LECA family. Distance to the common ancestor with the closest prokaryotic neighbouring group was measured (raw stem length, rsl) and normalized (stem length, sl) by dividing it by the median of the distances from the eukaryotic terminal nodes to the last common ancestor of all eukaryotic sequences (eukaryotic branch length, ebl). **b**, Subpopulation distributions within the overall stem length distribution (inset) as defined by a mixture model and the expectation-maximization algorithm. The four subpopulations/components are over-represented in different prokaryotic phylogenetic groups of origin, Gene Ontology (GO) and clusters of orthologous groups (COGs) functional category annotations (see text, Table 1 and Supplementary Tables 1 and 2). On top of these components, we represent the cellular localizations for which each family class is enriched. FECA, first eukaryotic common ancestor.

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Table 1 | Over-represented phylogenetic origins, GO terms and functional categories in the different components

Component	Size	Phylogenetic origin			Cellular localization			Cellular function			
		Group	<i>N</i>	<i>P</i>	GO cellular component	<i>N</i>	<i>P</i>	Functional category	<i>N</i>	<i>P</i>	
1	452	Bacteria	388	$<1 \times 10^{-6}$	Mitochondrion	150	$<1 \times 10^{-6}$	Amino-acid transport and metabolism	72	1.8×10^{-4}	
		Alphaproteobacteria	49	1.1×10^{-4}				Energy production and conversion	45	1.6×10^{-2}	
		Chlamydiae/Verrucomicrobia group	19	1.4×10^{-2}				Coenzyme transport and metabolism	29	4.9×10^{-2}	
		Deltaproteobacteria	29	2.0×10^{-2}							
2	284	-			Endoplasmic reticulum	32	3.5×10^{-3}	Carbohydrate transport and metabolism	28	3.7×10^{-2}	
					Golgi apparatus	11	4.1×10^{-2}				
					Extracellular space	8	1.4×10^{-2}				
3	234	Archaea	80	$<1 \times 10^{-6}$	Nucleoplasm	13	2.7×10^{-3}	Replication, recombination and repair	24	6.1×10^{-4}	
		Euryarchaeota	30	1.3×10^{-4}	Nucleus	80	5.9×10^{-3}	Translation, ribosomal structure and biogenesis	46	6.6×10^{-3}	
		Crenarchaeota	15	3.4×10^{-3}	Chromosome	14	7.4×10^{-3}	Transcription	10	4.9×10^{-2}	
		Korarchaeota	7	1.2×10^{-2}	Nuclear chromosome	9	2.4×10^{-2}				
		Actinobacteria	16	2.7×10^{-2}	Nucleolus	19	2.5×10^{-2}				
					Protein complex	46	2.9×10^{-2}				
4	94	Archaea	41	$<1 \times 10^{-6}$	Ribosome	24	$<1 \times 10^{-6}$	Translation, ribosomal structure and biogenesis	36	$<1 \times 10^{-6}$	
		Thaumarchaeota	8	4.9×10^{-4}	Cytosol	39	$<1 \times 10^{-6}$				
		Euryarchaeota	16	1.4×10^{-3}	Organelle	70	1.7×10^{-2}				
		Crenarchaeota	7	2.7×10^{-2}	Nucleolus	10	1.4×10^{-2}				

N, number of LECA families per term, in each component. *P* values $<10^{-6}$ reflect value 0 in 10^6 permutations.

stem lengths of bacterial-derived proteins to be similar. In contrast, significant differences would be predicted by models involving different waves of gene acquisition. We assessed differences in stem length, protein function and subcellular localization across 1,078 LECA families of different origins.

We first used an unsupervised approach to assess whether the distribution of stem lengths in LECA families was homogeneous. By using the expectation-maximization algorithm¹² to fit observed data to a mixture model, we inferred four distinct underlying distributions (Fig. 1b), each containing a subset of LECA families. We asked whether each underlying distribution contained an enrichment of protein families with (1) a particular taxonomic origin, (2) a particular subcellular localization or (3) a particular functional category. Notably, we found that the first component (shortest stems) was enriched in families with bacterial origins (most particularly alphaproteobacterial), mitochondrial localization and energy production (see Table 1). In contrast, the two components with the longest stems (third and fourth) were enriched in families of archaeal and actinobacterial origins, and in annotations related to the nucleus and ribosomes (Fig. 1b and Table 1). The second component showed no enrichment in any ancestry, but a significant enrichment in endomembrane system localization. The above results are only consistent with mito-late models, with the archaeal contributions to eukaryotes, mainly associated with nuclear structures and genes related to informational processes (replication, transcription, translation), being more ancient; with the prokaryotic proteome of the endomembrane system being integrated later; and with the alphaproteobacterial contribution, associated with mitochondria and energy production, appearing later than other bacterial components.

We tested this hypothesis more directly by grouping the LECA families by their inferred phylogenetic origin, and by their functional and subcellular localization annotations, and then testing whether their respective stem lengths were significantly different (Fig. 2a and Extended Data Fig. 1a–c). Overall, LECA families of bacterial origins have significantly shorter stems than families of archaeal origin ($P = 1.38 \times 10^{-25}$, two-sided Mann–Whitney *U*-test). Importantly, eukaryotic families of alphaproteobacterial descent

showed the shortest stems, together with families pointing to the Verrucomicrobia/Chlamydiales group. These lengths were significantly smaller than those found in LECA families of different bacterial origins ($P = 4.4 \times 10^{-2}$). When grouping LECA families according to their functional annotations, we found that those involved in informational processes had the longest stems, followed by those involved in cellular and signalling processes, with families involved in metabolic processes showing the shortest stems (Fig. 2c and Extended Data Fig. 1c). Next, we asked whether LECA families predominantly present in distinct subcellular compartments showed differences in terms of phylogenetic origins and stem lengths. Consistent with the above results, nuclear protein families had the longest stems, followed by those involved in the endomembrane system, and finally mitochondrial proteins tended to have the shortest stems (Fig. 2d and Extended Data Fig. 1d). The fact that both function and evolutionary origin correlate with stem length raises the need to disentangle the contribution of each of these factors. Our normalization assumes proportional (not necessarily constant) evolutionary rates in branches preceding and post-dating LECA, which both correspond to periods where the given protein had been incorporated into the host. Large shifts in evolutionary rates between the stem and post-LECA phases may have differentially impacted families depending on their function, leading to the observed differences mentioned above. However, our results are independent of the normalization, as shown in comparisons using the raw stem lengths (Fig. 2e, f). Furthermore, in matched comparisons, families of similar function, selection pressure, number of protein–protein interactions or expression levels but different origins show differences in stem lengths (Supplementary Information section 1 and Extended Data Fig. 2). Thus, phylogenetic origin, and not function, is the main driver of observed differences in stem lengths. To independently validate our approach, we assessed the relative timing of the acquisition of plastids, a type of organelle whose origin from cyanobacteria subsequent to mitochondrial endosymbiosis is uncontroversial. Consistently, cyanobacterial-derived families had significantly shorter stem lengths than alphaproteobacterial-derived families, thereby further supporting our approach (Supplementary Information section 2 and Extended Data Fig. 3).

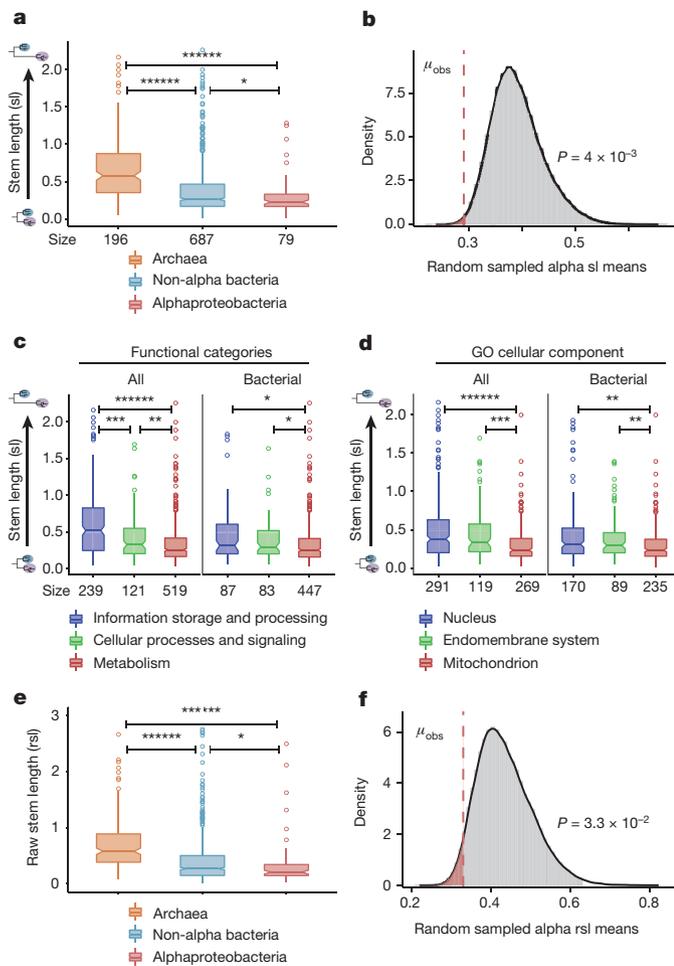


Figure 2 | Phylogenetic distance profiles. **a–d**, Profiles of different prokaryotic sources (**a**, **b**), cellular functions (**c**) and cellular components (**d**). The lower and upper box limits in **a**, **c** and **d** correspond to the first and third quartiles (25th and 75th percentiles). **a**, Box plot comparing stem length distributions in LECA families with archaeal, non-alpha bacterial and alphaproteobacterial sister-groups. Numbers on the *x* axis indicate the number of families included in each class. Symbols indicate the *P* values obtained from a two-sided Mann–Whitney *U*-test for the indicated comparisons as follows: * $P \leq 5 \times 10^{-2}$; ** $P \leq 1 \times 10^{-2}$; *** $P \leq 1 \times 10^{-3}$; **** $P < 1 \times 10^{-4}$; ***** $P < 1 \times 10^{-6}$. **b**, The observed mean (μ_{obs}) stem length of alphaproteobacterial values compared with the random sampling distribution of means, under the null hypothesis that families of different bacterial origins do not show differences in stem lengths. The *P* value is the probability that the mean would be at least as extreme as the observed, if the null hypothesis were true. The dashed line and the shaded area under the density plot correspond to the one-sided *P* value of the test (indicated next to the figure). **c**, **d**, Box plots of stem length distributions in LECA families of different COG functional families (**c**) and GO localizations (**d**), when considering all LECA families (All), or only those of bacterial descent (Bacterial). Other symbols as in **a**, **e**, **f**. The results obtained in **a** and **b** are consistent when using raw stem lengths, indicating that the relative differences in stem lengths are not driven by differences in the rates of evolution within extant eukaryotes (**e**).

We next tested the robustness of our results with different LECA data sets, sequence sampling and phylogenetic methods (see Supplementary Information sections 3–5 and Extended Data Fig. 4–6). Additional controls (Supplementary Information sections 4 and 5 and Extended Data Fig. 6) showed that HGT alone cannot explain the observed signal from non-alphaproteobacterial bacteria, and discarded the possibility that shorter stem lengths in alphaproteobacterial-derived families resulted only from specific functional classes, or from those affiliated to Rickettsiales, whose

specific clustering to mitochondrial proteins has been considered artefactual¹³. Finally, we included data from the recently identified lokiarchaeon clade in our analysis¹¹. Even though we found that LECA families of inferred lokiarchaeal origin had stems larger than those of bacterial-derived families, they did show the shortest stems among archaeal-derived proteins, thereby providing additional support that there is a close association of this clade to eukaryotes (Supplementary Information section 6 and Extended Data Fig. 7).

To gain further insight into the functionality and localization of the LECA families of different phylogenetic origins, we used correspondence analysis to visualize associations among these variables, and permutation tests to assess the statistical significance (see Methods, Fig. 3 and Extended Data Fig. 8). We found that alphaproteobacterial-derived genes tend to associate with mitochondria ($P \leq 10^{-6}$, permutation test with 10^6 randomizations), whereas archaeal-derived families do so with the nucleus. Perhaps more unexpectedly, we found that LECA families of bacterial descent, except for Alphaproteobacteria, showed a clearly distinct pattern, being predominantly associated with endomembrane related compartments (Fig. 3b and Extended Data Fig. 8b, d). Consistent results were obtained when correlations between evolutionary origins and functional categories were evaluated (Fig. 3a and Extended Data Fig. 8a, c). In particular, the alphaproteobacterial component showed a unique correlation with energy production ($P < 10^{-6}$). This result is not consistent with scenarios in which most of the bacterial components in LECA are assumed to originate from the alphaproteobacterial endosymbiont, because in this case a higher functional coherence would be expected among them. These results also reinforce the idea that, despite substantial subcellular re-targeting and functional diversification, the proto-mitochondrial-derived fraction of the eukaryotic proteome retains a tendency to be mitochondrial localized¹⁴. Interestingly, alphaproteobacterial-derived families of mitochondrial localization have shorter stem lengths than mitochondrial families of different origins ($P = 6 \times 10^{-3}$), which indicates re-targeting to the newly formed organelle.

Altogether, our results provide compelling support for a late acquisition of mitochondria, as proposed by several eukaryogenesis models⁵. Specifically, our data suggest that most of the bacterial component of LECA, with origins other than alphaproteobacteria, was acquired earlier and mostly contributed to compartments other than the mitochondrion or the nucleus, and to processes besides energy production. We have shown that this pattern cannot be entirely explained by massive HGT to the proto-mitochondrial ancestor. This implies that these proteins were acquired by the host genome before mitochondrial acquisition. Thus, the host that engulfed the mitochondrion was already a complex cell, whose genome already harboured pathways and processes of diverse bacterial origins. Given the heterogeneity of these alternative bacterial origins, no simple model can explain this component. Serial symbiotic associations with different partners, the existence of prokaryotic consortia or gradual waves of HGT to the host genome before mitochondrial endosymbiosis could all explain such chimaerism. Finally, the archaeal-derived component has the longest stems and the strongest association with the nucleus, consistent with the idea that eukaryotes have rooted from within archaea, and that the nucleus is of archaeal origin. Our results are compatible with either a complex proto-eukaryotic host or a complex archaeal host already harbouring many pathways of bacterial origin¹⁵. In either case, mitochondrial engulfment marked an end to massive bacterial HGT in LECA and the start of the diversification of extant eukaryotic lineages. We argue that mitochondrial endosymbiosis was indeed a crucial late step in eukaryogenesis, which brought about the definitive selective advantage that facilitated the dominance and radiation of the eukaryotic groups that have survived to the present day.

Online Content Methods, along with any additional Extended Data display items and Source Data, are available in the online version of the paper; references unique to these sections appear only in the online paper.

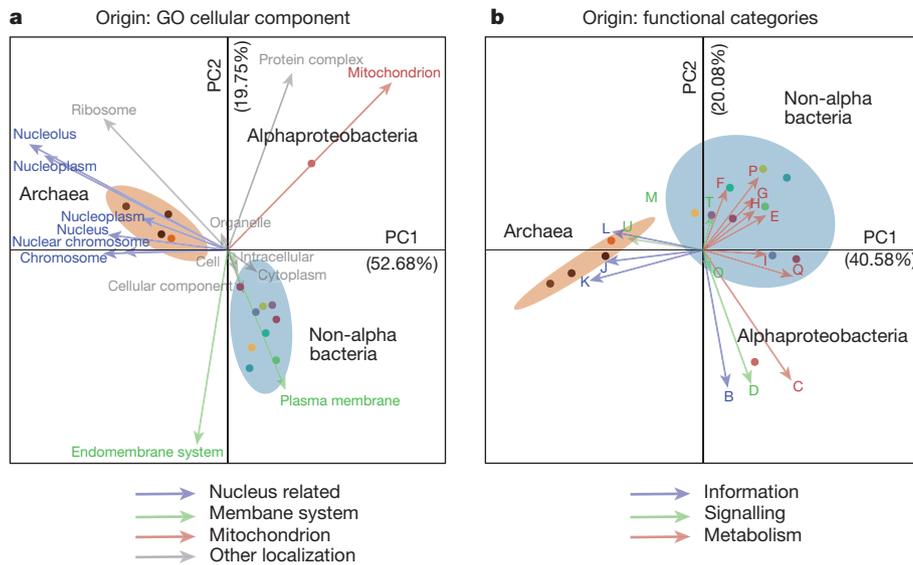


Figure 3 | Correspondence of different LECA components with different cellular localizations and functions. **a**, **b**, Correspondence analysis symmetrical biplots showing differences between the localizations (**a**) and functions (**b**) of the families of various phylogenetic origins. In both cases, the first principal components, accounting for the largest percentage of variance explained, clearly separate the bacterial and archaeal (brown ellipse) eukaryotic origins, while the second components separate the alphaproteobacterial (red dot) from the other bacterial origins (cyan ellipse). The numbers next to the principal axes (PC1, PC2) show

the percentage of the total variance explained by each component. Both columns (functions or localizations) and rows (phylogenetic origins) are in principal coordinates. The colours of the arrows, cellular localizations (left) and functional categories (right) correspond to the categories and localizations of Fig. 2c, d accordingly (see Methods). If a term cannot be categorized as above, the colour is grey. Dots are coloured according to the phylogenetic origin of the group as in Extended Data Fig. 1a (see also extended version of this in Extended Data Fig. 8).

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Supplementary Information is available in the online version of the paper.

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Author Contributions A.A.P. and T.G. conceived the study. A.A.P. performed the computational analyses. A.A.P. and T.G. analysed and interpreted the data. A.A.P. and T.G. wrote the manuscript.

Author Information Reprints and permissions information is available at www.nature.com/reprints. The authors declare no competing financial interests. Readers are welcome to comment on the online version of the paper. Correspondence and requests for materials should be addressed to T.G. (tgabalton@crg.es).

METHODS

No statistical methods were used to predetermine sample size. The investigators were not blinded to allocation during experiments and outcome assessment.

Sequence data. The sequences of proteins encoded by 3,686 fully-sequenced genomes of eukaryotes (238), Bacteria (3,318) and Archaea (130), as well as the 192,421 non-supervised orthologous groups (NOGs) and COGs corresponding to the broadest taxonomic level (last universal common ancestor, LUCA), were downloaded from eggNOG version 4.0 (ref. 16); hereafter NOGs/COGs will be referred to as orthologous groups, indistinctively. In total, 11,504 orthologous groups containing 4,323,066 sequences both from eukaryotic and from prokaryotic species were considered. For the analysis including the recently sequenced member of Lokiarchaeota¹¹, the 5,384 protein coding sequences of the archaeon Loki were downloaded as of 7 May 2015 from the Protein database of NCBI (<http://www.ncbi.nlm.nih.gov/protein/>) under the taxonomy identifier 1538547.

Taxonomy-based sequence sub-sampling. To reduce data redundancy and obtain a more balanced representation of different eukaryotic families, the initial data set was sub-sampled using taxonomic criteria. We selected 37 eukaryotic species, covering all main eukaryotic subdivisions present in EggNOG version 4 (unikonts, Archaeplastida, Chromalveolates, Excavates), emphasizing model species for which better genomes with experimental annotations were available (Supplementary Table 1). The selected set comprises 18 unikonts (16 Opisthokonta and 2 Amoebozoa), 6 Archaeplastida (5 Viridiplantae and 1 Rhodophyta), 8 Chromalveolates (5 Alveolata, 3 Stramenopiles) and 5 Excavates (2 Euglenozoa, 1 Fornicata, 1 Parabasalia and 1 Heterolobosea). Similarly, for the prokaryotic genomes, we defined 692 levels based on taxonomic criteria. This set represents all 681 prokaryotic genera present in EggNOG version 4 and 11 groups in which the 'genus' rank is not assigned ('no rank'). Genomes with non-informative taxonomic assignments, including the words 'environmental' and 'unclassified', were not considered. For each of the orthologous groups, we randomly sampled one sequence from each of the 729 taxonomic levels defined (37 eukaryotic species plus 692 prokaryotic levels).

Phylogenetic analysis and identification of LECA families. The detection of LECA families (that is, groups of related eukaryotic sequences that are inferred to be derived from LECA) was done in two steps. First, maximum likelihood trees were computed using a fast approach. For this we first built alignments of the 8,188 filtered orthologous groups using MAFFT version 6.861b¹⁷ and the *-auto* parameter. These alignments were trimmed using trimAl version 1.4 (ref. 18) with a gap score cutoff of 0.01. Then, maximum likelihood phylogenetic trees were reconstructed using FastTree 2.1.7 (ref. 19) and the WAG evolutionary model (*-wag*). These trees were inspected to identify monophyletic groups of three or more eukaryotic sequences, corresponding to eukaryotic protein families. Similarly to previous studies⁸, eukaryotic sequences within one orthologous group were not considered a priori monophyletic, as the same group could comprise different eukaryotic groups derived from ancestral duplications subsequent to LUCA but preceding LECA (see also ref. 20). This resulted in the identification of multiple eukaryotic LECA families in some orthologous groups.

In the subsequent step we performed a second phylogenetic analysis of the identified eukaryotic LECA families. For this we considered only the sequences in the given eukaryotic family and all the prokaryotic sequences in the tree, and used a more accurate phylogenetic approach. We used a similar pipeline to that described in ref. 21. In brief, multiple sequence alignments using three different aligners, MUSCLE version 3.8.31 (ref. 22), MAFFT version 6.861b¹⁷ and DIALIGN-TX 1.0.2 (ref. 23), were performed in forward and reverse orientation. The six resulting alignments were combined with M-COFFEE version 8.80 (ref. 24) into a maximal-consensus alignment, which was trimmed using trimAl version 1.4 (ref. 18) with a gap score cutoff of 0.01. For each sequence alignment, the best-fit evolutionary model selection was done before phylogenetic inference using ProtTest version 3 (ref. 25). In each case three different evolutionary models were tested (JTT, WAG, LG). The model best fitting the data was determined by comparing the likelihood of all models according to the Akaike information criterion. Finally, an maximum likelihood tree was inferred with RAxML version 8.0.22 (ref. 26) using the best-fitting model and a discrete gamma-distribution model with four rate categories plus invariant positions. The gamma parameter and the fraction of invariant positions were estimated from the data. SH-like branch support values were computed using RAxML version 8.0.22. Only the eukaryotic families whose monophyly was also recovered in this second phylogenetic step, and for which the support value of the branch between this clade and the prokaryotic sister clade was higher than 0.5, were further considered in the analysis. For the phylogenetic analysis, the execution of the different phylogenetic workflows was done using the bioinformatics tool ETE version 2.3 (ref. 27) as environment in the single gene tree execution mode.

Detection of eukaryotic families present in LECA. Our workflow provided us with a flexible framework for evaluating the effect on the final outcome of

different definitions of LECA. Results using alternative criteria are discussed in Supplementary Information section 1. Similarly to previous analyses⁸, a eukaryotic family was inferred as being derived from LECA on the basis of its presence in different major eukaryotic groups. In particular, the requisites for inclusion in LECA are similar to the one used in ref. 8, but with some important differences. For instance, the criteria used in ref. 8 could be met by genes present only in Archaeplastida and Chromalveolates, a pattern that suggests genes are acquired in Chromalveolates through secondary endosymbiosis²⁸. Our criteria required the presence of sequences both from unikonts and from at least one of the other groups among bikonts (Archaeplastida, Chromalveolates and Excavates; see also Extended Data Fig. 4a). This procedure rendered 1,078 families, 433 of which were present in all 4 groups and 323 in at least three groups, including unikonts. Upon using more stringent definitions our main results were not affected, but the number of families that could be selected for analysis was significantly reduced (see Extended Data Fig. 4b and Supplementary Information section 3.1).

Inference of the prokaryotic sister group and phylogenetic origin. We used a nearest neighbour approach for estimating the prokaryotic affiliation of each LECA group (see Fig. 1a). For that, the phylogenetic trees were first rooted to the prokaryotic sequence that was most distant from the eukaryotic LECA family. Then, the phylogenetic origin of each LECA family was assigned by evaluating the prokaryotic species present in the sister tree partition and using the NCBI taxonomy to define the narrowest taxonomic level that included all prokaryotic species present in that partition. For instance, if only sequences from Alphaproteobacteria and Betaproteobacteria were present in the sister branch, the inferred origin would be 'proteobacteria'. If sequences from any bacterial group(s) were present together with sequences from any archaeal group(s), the group of origin would be considered 'cellular organisms' and so on. Given the hierarchical structure of NCBI taxonomy, this assignment inherited all parent taxonomic levels included within it. For example, a LECA family with an inferred origin in Rickettsiales was also assigned alphaproteobacterial, proteobacterial and bacterial origins.

Measurement of the phylogenetic distance to the last common prokaryotic ancestor of LECA families: stem lengths. The branch of interest of each gene family tree is the one connecting the last common ancestor of the LECA family with the common ancestor of this and the nearest prokaryotic sister group to LECA (stem, see Fig. 1a). The length of this branch corresponds to the expected number of substitutions per site in that lineage: that is, the amount of change from the incorporation of the gene into the eukaryotic lineage until LECA. As this also depends on the evolutionary rate of each gene, we normalized the stem length value by dividing it by the median of the branch lengths within the LECA family. We chose the median because of its robustness with respect to extreme outliers (very long branches resulting from fast evolving sequences or phylogenetic artefacts). In the text we refer to this corrected branch length value as stem length. Our rationale for this correction is the following: across families, the time of divergence from LECA is, by definition, the same. Therefore, differences in eukaryotic branch lengths across families are expected to reflect differences in evolutionary rates. By applying this correction, we thus divide by a constant (time from LECA) and a rate, which varies from family to family. This can schematically be expressed by the following relationship:

$$\text{stem length} = \frac{R_s \times T_s}{R_e \times T_e}$$

where R_s , T_s and R_e , T_e are the evolutionary rate (R) and divergence time (T) of the stem (s) and the eukaryotic clade (e), respectively. Under the assumption that rates pre- and post-LECA are correlated (that is, not necessarily constant), this normalization compensates for differences in rates in the pre-LECA branches, providing a closer measurement of the divergence time from the prokaryotic ancestor to the LECA. Although we cannot discard that major rate shifts in pre- and post-LECA branches occurred in some cases, we consider it unlikely that they affected in a similar way all proteins of the same phylogenetic origin, regardless of their function; or that they affected in an opposite way proteins with similar function but of different phylogenetic origin. Nevertheless, we performed comparisons using the raw stem lengths as well as with the (normalized) stem lengths.

LECA family descriptors. Each LECA family was assigned a phylogenetic origin and a normalized stem length. In addition, they also received the functional (COG functional categories) and GO annotations provided by the eggNOG database. Annotations included functional categories of the corresponding orthologous groups as defined in the COG database, as well as GO cellular component annotations, of which we only considered terms that had experimental evidence codes and that were present in the GO slim generic cut-down version of the GO ontologies. After testing alternative thresholds, GO terms were assigned to the corresponding families if they were present in more than 10% of the sequences in the family considered. For the correspondence analysis (see below), where very rare terms

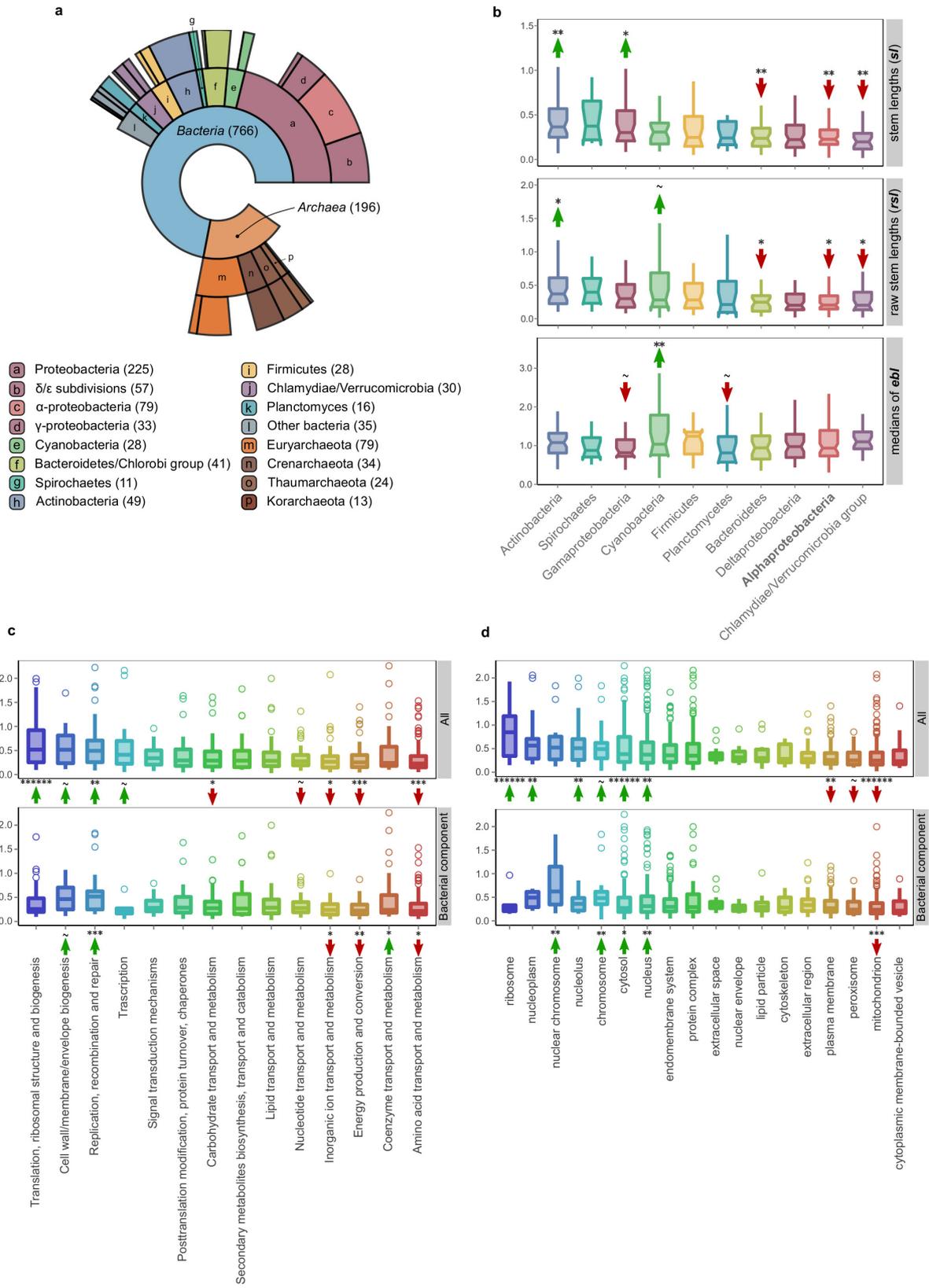
could bias the statistical inference, we used a stricter approach, considering only GO slim terms that were assigned to sequences from more than one group among unikonts, Archaeplastida, Chromalveolates and Excavates. Finally, through the corresponding orthologous groups, COG functional categories and GO slim terms were linked to prokaryotic groups and stem lengths, which were later used for profile comparison (Fig. 2c, d and Extended Data Fig. 1c, d). For convenience, we list here the COG functional categories corresponding to the one-letter codes: A, RNA processing and modification; B, chromatin structure and dynamics; C, energy production and conversion; D, cell cycle control and mitosis; E, amino-acid metabolism and transport; F, nucleotide metabolism and transport; G, carbohydrate metabolism and transport; H, coenzyme metabolism; I, lipid metabolism; J, translation; K, transcription; L, replication and repair; M, cell wall/membrane/envelop biogenesis; N, cell motility; O, post-translational modification, protein turnover, chaperone functions; P, inorganic ion transport and metabolism; Q, secondary structure; T, signal transduction; U, intracellular trafficking and secretion; Y, nuclear structure; Z, cytoskeleton; R, general functional prediction only; S, function unknown.

Unsupervised clustering and enrichment analyses. The clustering of the stem lengths into different components was done by fitting a Gaussian mixture model using the expectation-maximization algorithm as implemented in the Mclust package²⁹ in R. The Mclust function returns the optimal model—the optimal number of components and membership—according to a maximum likelihood estimation and the Bayesian information criterion for expectation-maximization, initialized by hierarchical clustering for parameterized Gaussian mixture models. Applying the algorithm to the distribution of the normalized stem lengths from the LECA inference clustered the data into five components/subpopulations, of which the fifth, with only 14 extreme observations (with values in the range 2.3–7.1), also enriched in archaeal origins, was considered an outlier and was excluded. Each of the 1,064 remaining LECA families was assigned a membership within the four remaining components. Each of these subgroups was tested for enrichment in prokaryotic groups of origin, COG functional categories and GO cellular component terms. Enrichments were calculated using 10^6 permutations, in which the family memberships were randomly reshuffled and the P values estimated as the number of times a given origin, COG category or GO term had a count in the given component equal or greater than the observed one (Table 1).

Statistical comparisons of stem lengths. The statistical significance of the observed differences in normalized stem lengths between the different groups (taxonomic groups or functional categories and GO terms) was assessed with a non-parametric two-sided Mann–Whitney U -test for pairwise, or among three, comparisons. In the case of comparisons among three groups, the P values were adjusted for multiple testing with a correction for false discovery rate using the p.adjust function in R. The significance of the observed difference between the normalized stem lengths associated with the various groups and the overall bacterial signal was assessed using a permutation test with 10^6 randomizations. In each round, by sampling the whole distribution, the values were randomly assigned to the various eukaryotic families, and the mean, resulting from the random sampling of each of the groups, was computed (every group in each round had the same size but random values). The P value for each group was calculated as the number of times that an equal or more-extreme mean than the observed was occurring by chance, divided by the overall number of randomizations.

Statistical associations. We used a permutation test (10^6 permutations) to evaluate the relationships between the proteins' evolutionary origin and their function/subcellular localization. The observed association was estimated as the number of co-occurrences between a given term and a given prokaryotic group of origin throughout all the families. The P value was calculated as the number of times that the amount of random co-occurrences between a group-term pair was equal or higher than the observed, divided by the number of permutations. Correspondence analysis is a statistical multivariate technique, conceptually similar to principal component analysis, that has been widely used to visualize associations between categorical variables³⁰. Briefly, it decomposes the χ^2 statistic associated with the two-way table into orthogonal factors that maximize the separation between row and column scores. Correspondence analysis was applied to the contingency table of co-occurrences between the inferred taxonomic groups of prokaryotic origins (rows) and the various annotation terms (columns). The biplots in Fig. 3 and Extended Data Fig. 8a, b show the best two-dimensional approximation (first two principal axes) of the distances between rows and columns in each case. For the computation we used the ca function of the ca package in R, after removing very rare observations (single observation columns) that could bias the representation.

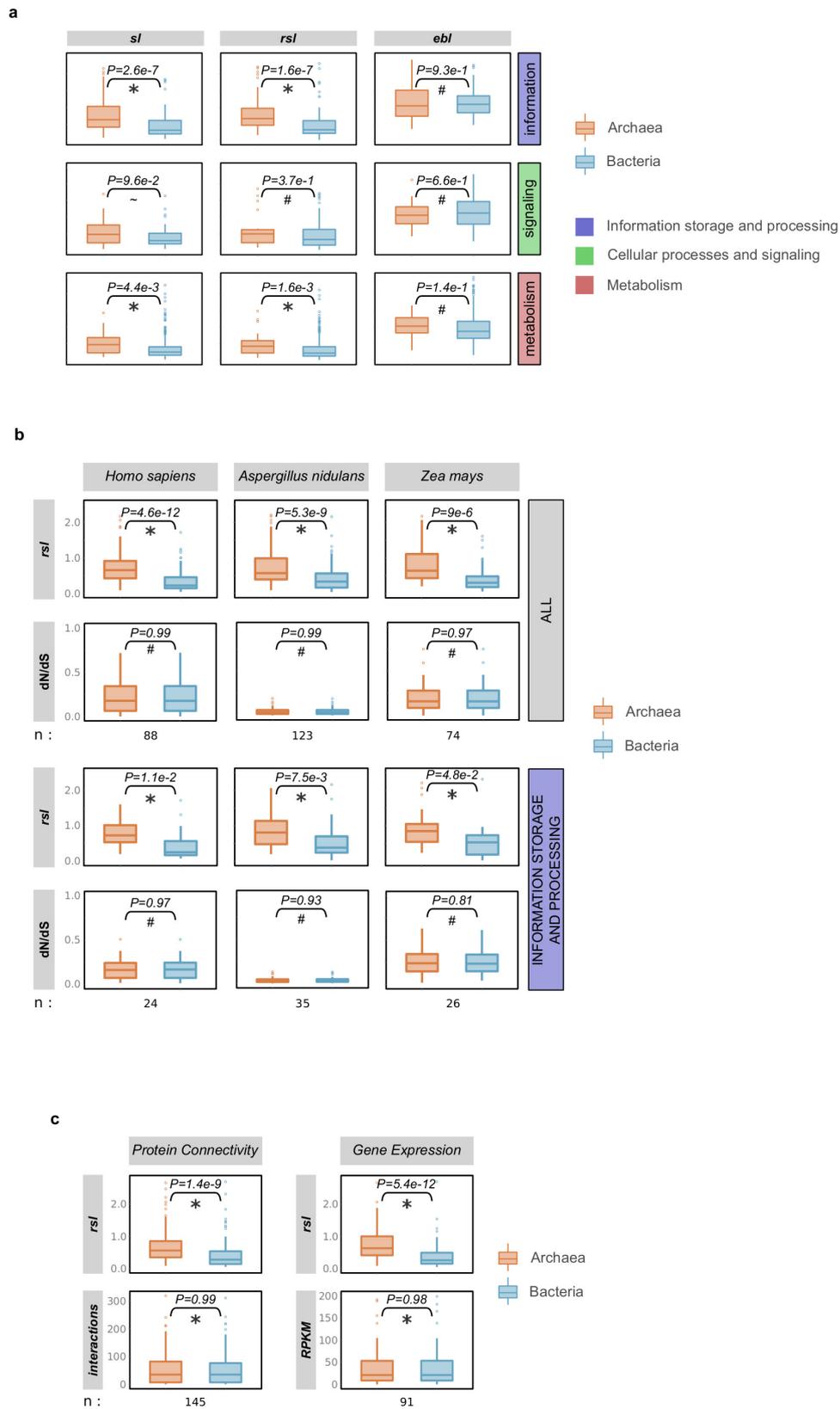
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Extended Data Figure 1 | See next page for figure caption.

Extended Data Figure 1 | Sister group distribution and extended phylogenetic distance profiles. **a**, Ring plot showing the distribution of inferred prokaryotic origins. Inner layers represent hierarchically lower (broader) taxonomic levels. The number of LECA families assigned to each group is indicated in parentheses next to the corresponding level in the ring plot or in the boxes below. **b**, Box plot showing the distributions of branch lengths in the different bacterial components. Measured stem lengths (sl), raw stem lengths (rsl), and the medians of the lengths from LECA to branch tips inside the eukaryotic families (ebl), as defined in Fig. 1a, are shown. Permutation tests were performed to evaluate the statistical significance of the differences between the distributions. A total of 10^6 permutations were performed, with the values being randomly shuffled in each permutation (see also Methods). The arrows

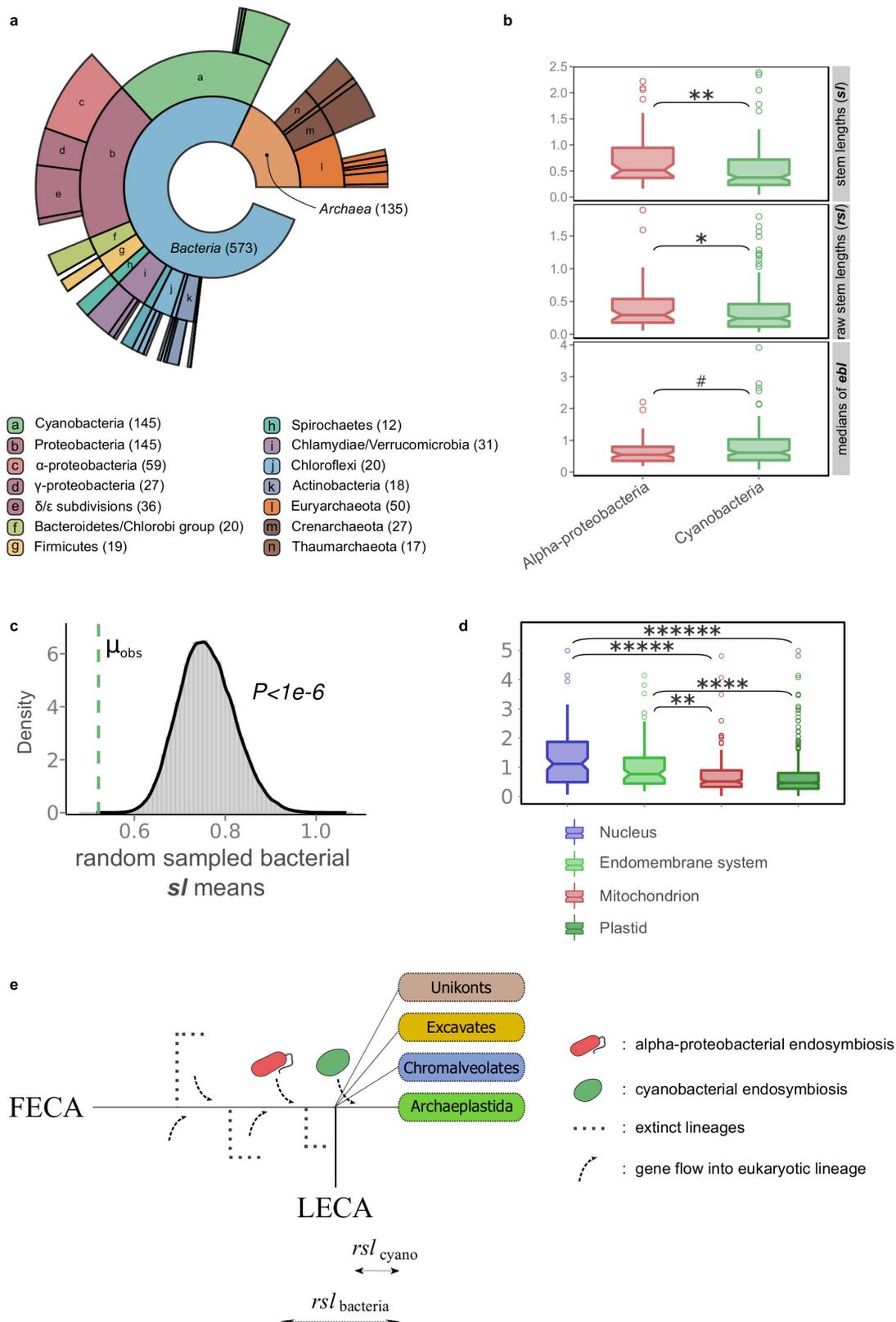
and symbols above the boxes refer to the statistical significance of the differences observed compared with randomly shuffled distributions (lower values, downward red arrow; higher values, upward green arrow). The correspondence between the symbols and the P values is as follows: $\sim P \leq 1 \times 10^{-1}$; $*P \leq 5 \times 10^{-2}$; $**P \leq 1 \times 10^{-2}$; $***P \leq 1 \times 10^{-3}$; $*****P < 1 \times 10^{-6}$. The lower and upper box limits correspond to the first and third quartiles (the 25th and 75th percentiles). **c**, **d**, Stem length profiles of the various functional categories (**c**) and GO slim cellular components (**d**) are shown. As in Fig. 2c, the stem lengths are also evaluated by looking only at the bacterial component to exclude the possibility that the observed differences are due solely to archaeal-bacterial differences. The significance was assessed with permutation tests (10^6 permutations) and is indicated with arrows as in **b**.



Extended Data Figure 2 | See next page for figure caption.

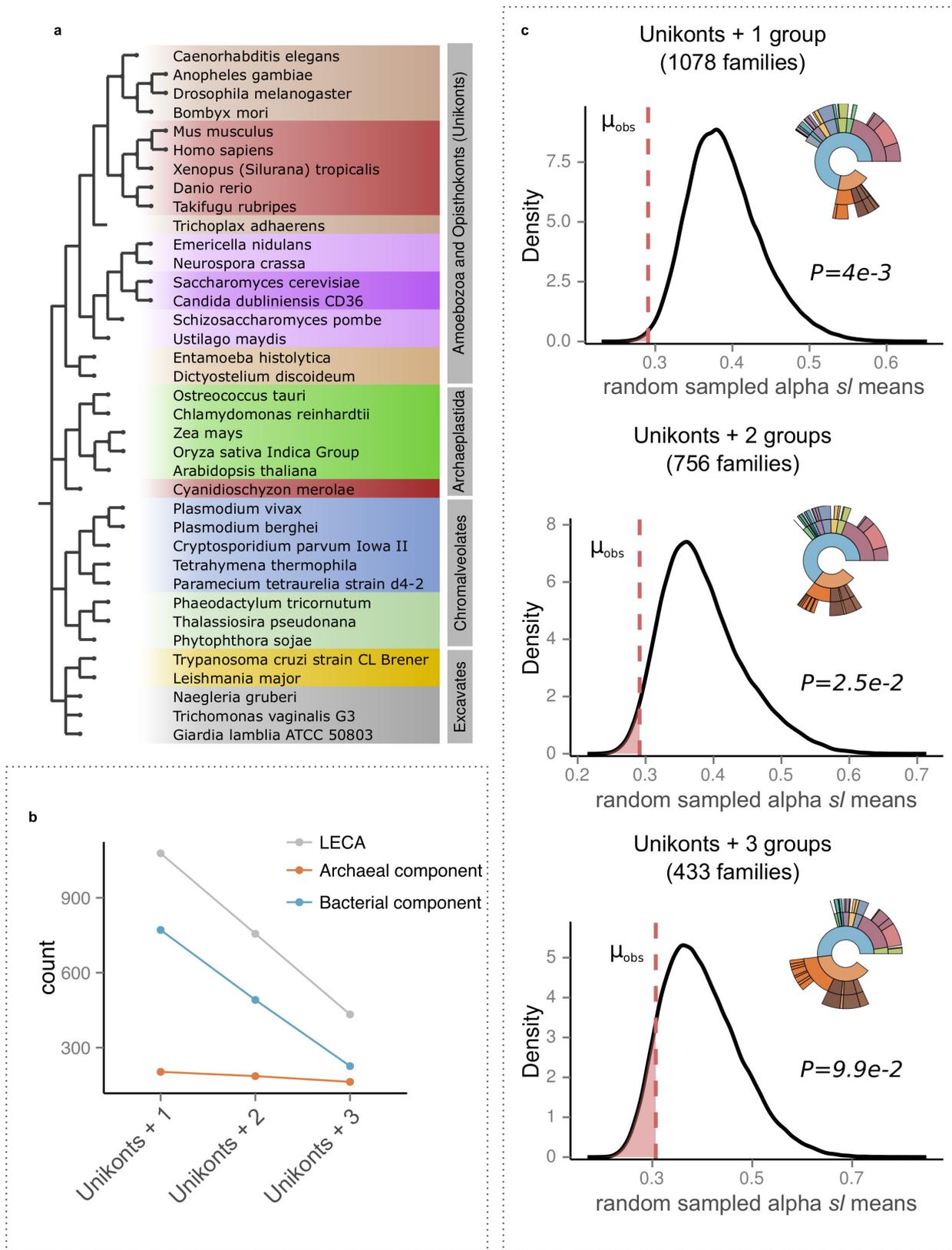
Extended Data Figure 2 | Families of archaeal origin have significantly longer stems than families of bacterial origin across different functional categories, similar selective pressures, and connectivities/expression levels. **a**, The stem lengths, raw stem lengths, and eukaryotic branch lengths, between families of archaeal and bacterial inferred origin, are compared across the three major functional categories. While the eukaryotic branch lengths among the groups do not show significant differences, differences are detected in their respective stems (raw stem lengths and stem lengths). **b**, Archaeal and bacterial LECA families of similar selective pressures (as measured by dN/dS values across family members) differ significantly in terms of their raw stem lengths. Sets of families from both groups were matched with respect to their dN/dS values in the indicated reference species. The dN/dS data were downloaded from

Ensembl for family members corresponding to *Homo sapiens* (Metazoa), *Aspergillus nidulans* (fungi) and *Zea mays* (plants) (see Supplementary Information section 1). The comparison of the raw stem lengths of the two sets shows that archaeal families generally have significantly longer stems (upper plots), and functions within the ‘information storage and processing’ category (lower plots), irrespective of their selective pressures. **c**, Archaeal and bacterial LECA families of similar connectivity/expression levels show significantly different raw stem lengths (see Supplementary Information section 1). In **a–c**, differences between the archaeal and bacterial component were evaluated with a two-tailed Mann–Whitney *U*-test and the *P* value is indicated in each case (* $P \leq 5 \times 10^{-2}$; $\sim P \leq 1 \times 10^{-1}$; # $P > 1$).



Extended Data Figure 3 | Analysis of the cyanobacterial signal in primary plastid-bearing eukaryotes. **a**, Ring plot showing the distribution of inferred prokaryotic origins in widespread plant protein families, as in Extended Data Fig. 1a. The profile of inferred origins of eukaryotes that acquired a plastid through primary endosymbiosis carries a strong signal from the cyanobacterial endosymbiont. **b**, **c**, Families of inferred cyanobacterial origin have significantly shorter stem lengths and raw stem lengths than alphaproteobacterial families (**b**) and than the random distribution of stem lengths from the bacteria inferred component (**c**), pointing to a more recent acquisition of plastids

(post-LECA). **d**, Overall, as with mitochondrial localized proteins, those proteins localized to plastids have shorter stems than the nuclear and endomembrane system proteins. **e**, Schematic representation of the expected difference in stems, given that cyanobacterial endosymbiosis occurred after the diversification of the major eukaryotic lineages. As confirmed, the raw stem lengths measured from plant protein families to their common ancestor with cyanobacteria are shorter than those whose origin can be traced back to Alphaproteobacteria or other bacterial groups. Two-tailed Mann–Whitney *U*-test *P* value symbols in **b** and **d** are as in Extended Data Fig. 1; additionally $****P \leq 1 \times 10^{-4}$, $*****P \leq 1 \times 10^{-5}$.



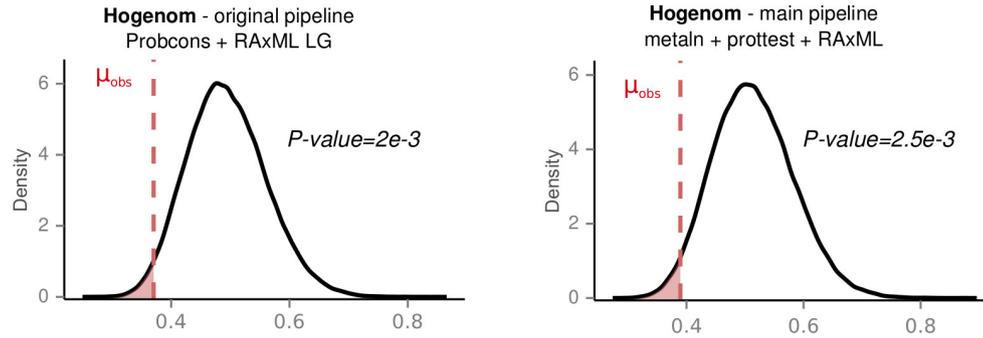
Extended Data Figure 4 | Effect of alternative LECA definitions.

a, The four eukaryotic groups including all 37 selected eukaryotic species used in the analysis are shown next to the NCBI taxonomic structure, with the higher groupings modified according to the Tree of Life Project (<http://tolweb.org/Eukaryotes/3>). **b**, Stricter LECA definitions have a much larger effect on the bacterial component than on the archaeal component, which is more widespread among eukaryotic groups. **c**, The effect of different LECA definitions in terms of taxonomic assignments

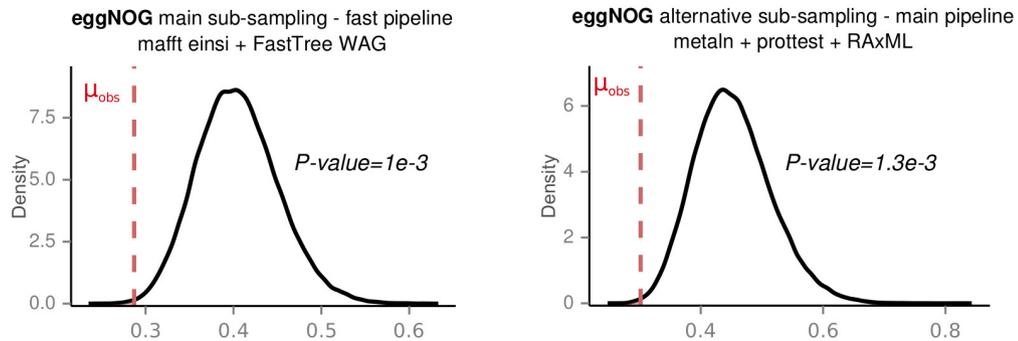
and differences in stem lengths between proteins of alphaproteobacterial origins and those derived from other bacteria. Numbers in parenthesis indicate the total number of LECA families that passed the threshold. The kernel density plots, as in Fig. 2b, show the observed stem length means for Alphaproteobacteria compared with 10^6 random samplings among values in protein families of bacterial origin. The observed means (μ_{obs}) are shown with a dashed red line, reflecting the P value of each test, and indicated next to the plot. See also Supplementary Information section 3.1.

a

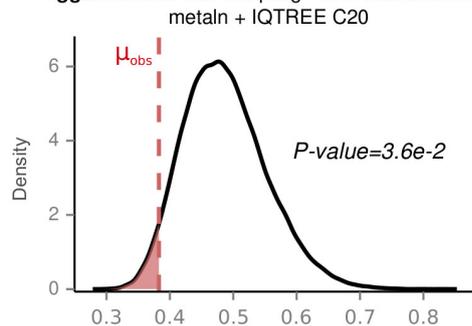
HOGENOM 5 (Rochette et al.)



eggNOG v4



eggNOG main sub-sampling - CAT mixture model



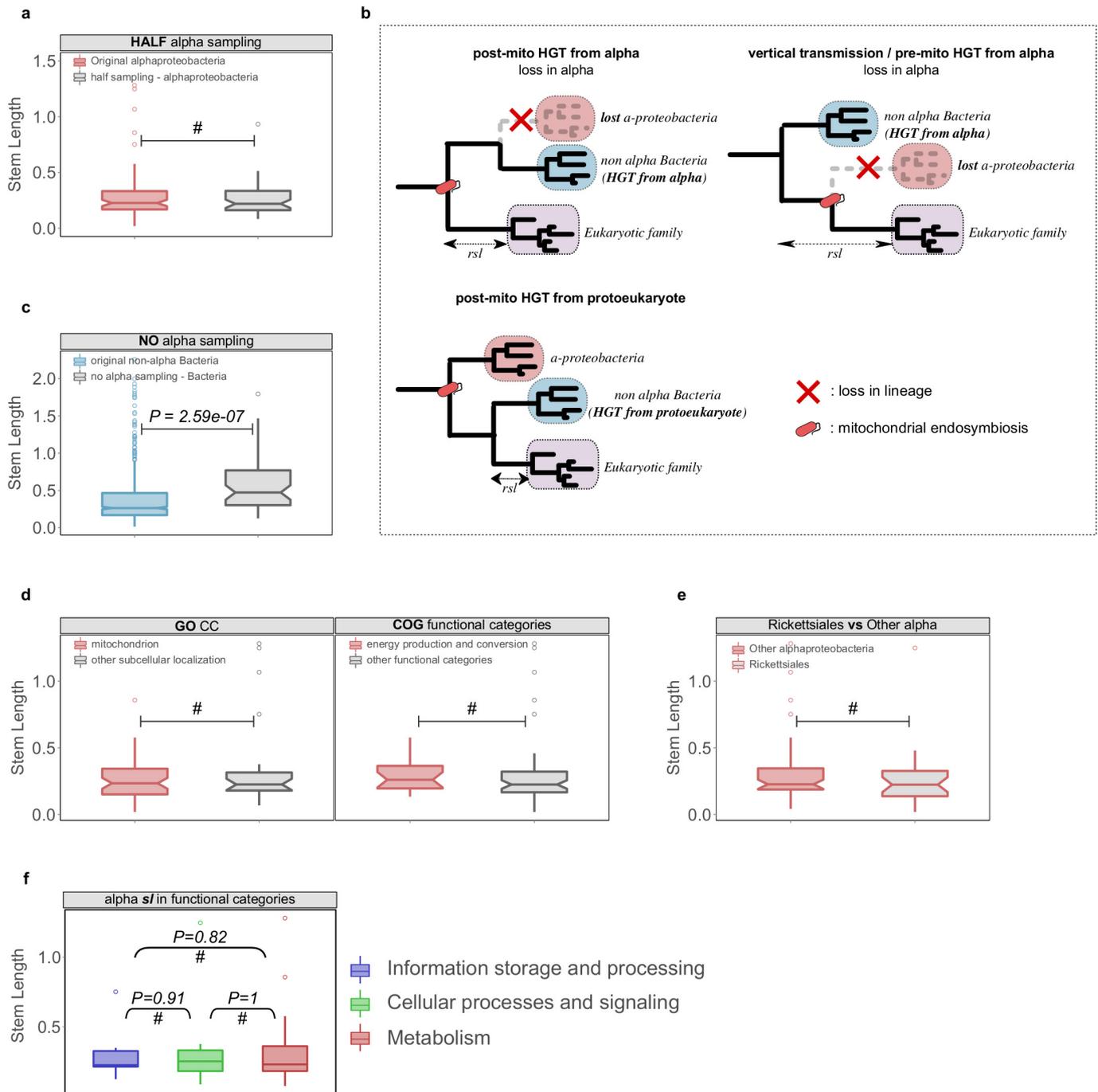
b

Support threshold	Bacterial families	α -proteobacterial families	P value*
0.5	766	79	4.0e-3
0.6	724	71	1.3e-3
0.7	669	64	5.9e-3
0.8	557	50	9.9e-3
0.9	354	31	7.0e-2

* 10^6 permutations

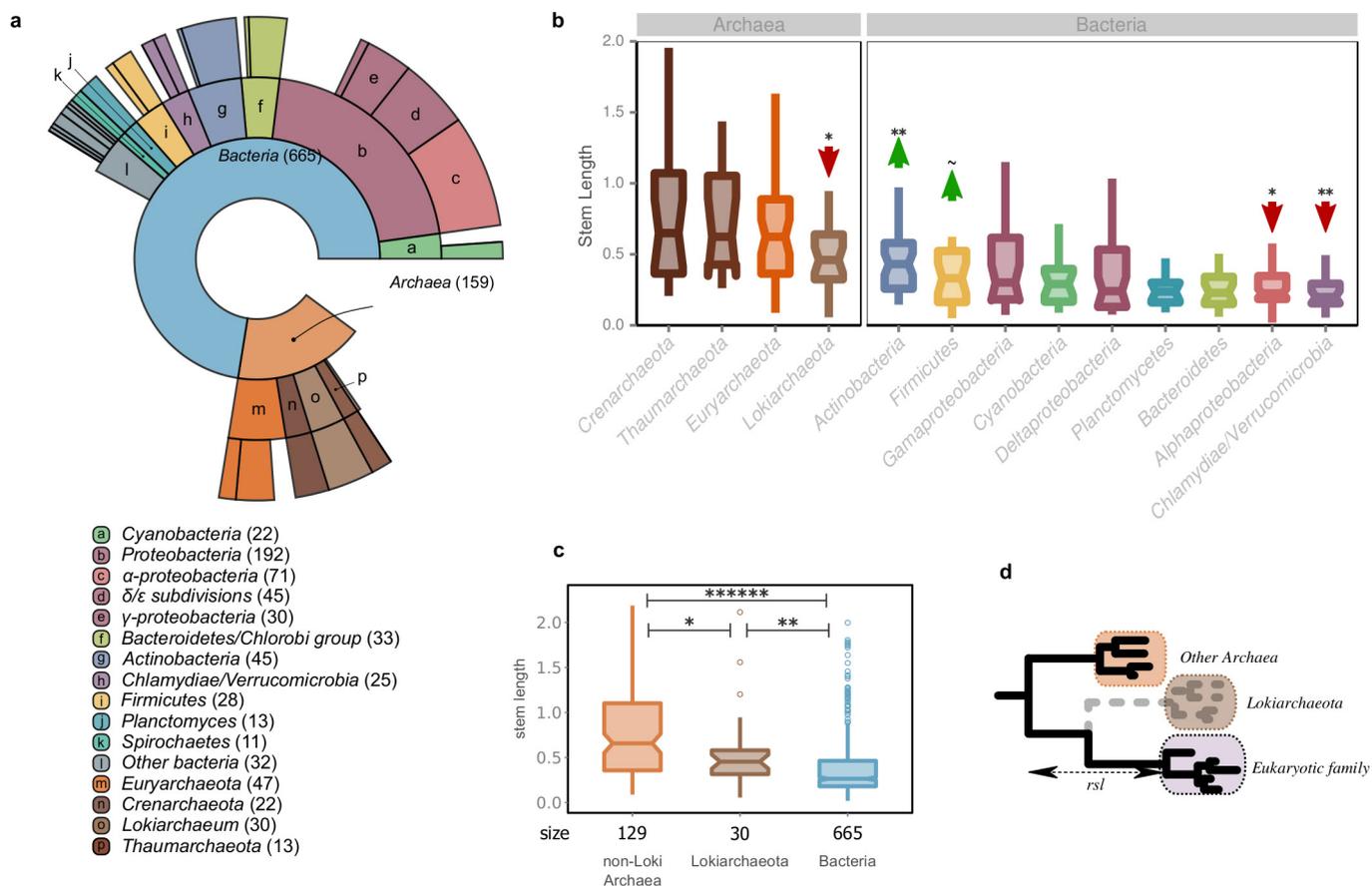
Extended Data Figure 5 | Alphaproteobacterial-derived proteins have consistently shorter branches, irrespective of the methods, data sets, and support thresholds. Kernel density plots of the random mean distributions of the stem lengths are shown for the different methods, data sets and support thresholds used (see also Supplementary Information sections 3.2 and 3.3). The observed alphaproteobacterial means (μ_{obs}) are as in Fig. 2b. a, Results after using either the phylogenetic trees provided

by the authors in ref. 8 (upper left), our standard phylogenetic pipeline applied to their sampling of sequences (upper right) or alternative phylogenetic pipelines or samplings from EggNOG (lower). b, The main result is robust against progressively stricter support thresholds until the sample size becomes too small (support threshold > 0.9). Numbers in parenthesis indicate the number of bacteria-inferred LECA families for each threshold.



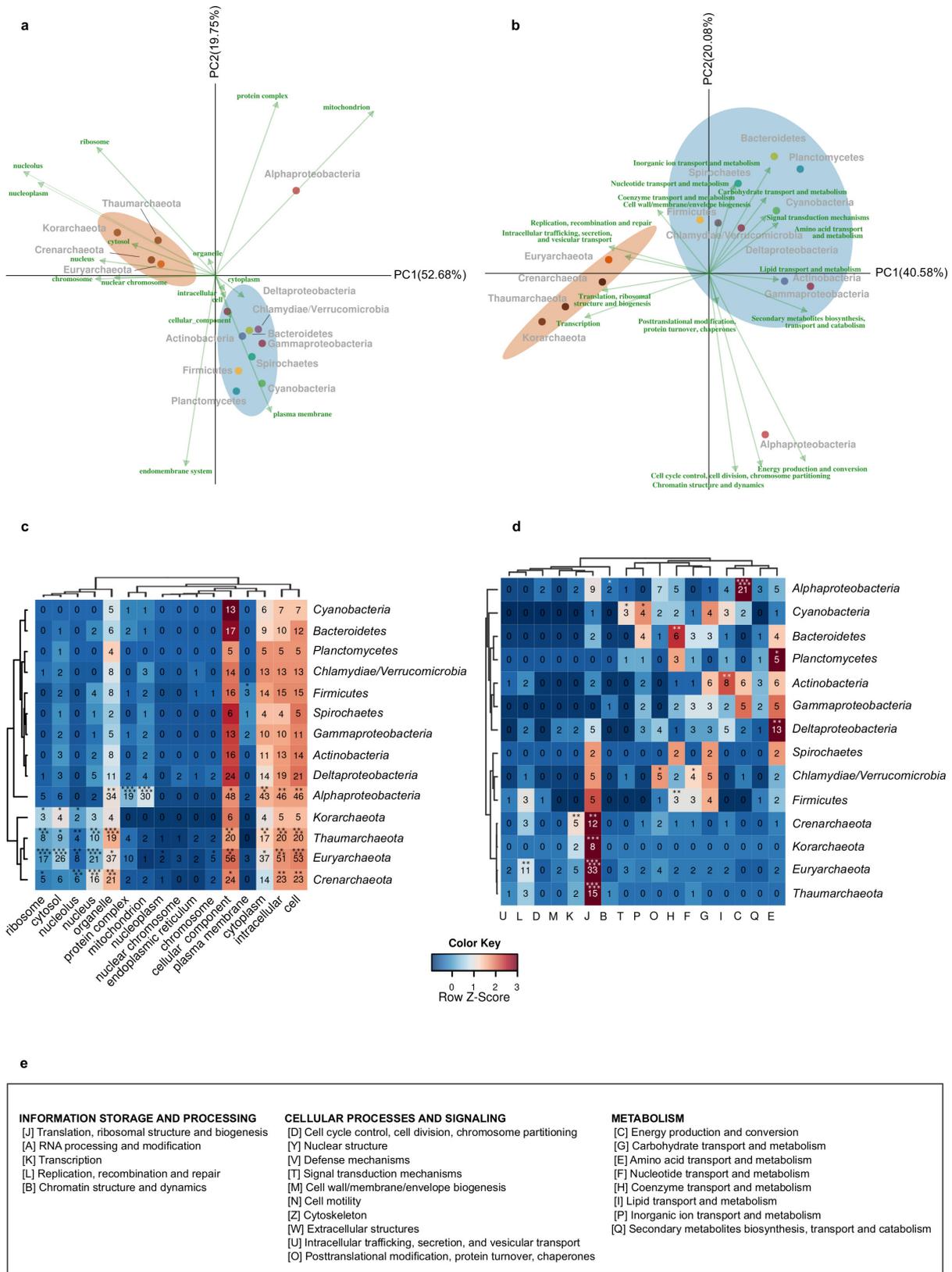
Extended Data Figure 6 | Evaluation of alternative HGT scenarios and other potential biases. **a**, The sampling effect was simulated by artificially removing part or all of the alphaproteobacterial sequences in the final data sets. To simulate the potential bias caused by an enriched sampling of Alphaproteobacteria, an artificial reduction of alphaproteobacterial sequences to 50% was applied to the data set ('HALF alpha sampling'). The reduction of alphaproteobacterial sequences by 50% does not significantly change the inferred stem length within families of alphaproteobacterial origin. #Cases where the difference was not significant. **b**, Different scenarios of HGT to the proto-mitochondrion are unable to explain the observed signal in families mapped to non-alpha Bacteria. The transfer of a gene from Alphaproteobacteria to another bacterial lineage after mitochondrial endosymbiosis and its parallel loss from the lineage of the mitochondrial ancestor ('post-mito HGT from alpha') would result in unchanged stem lengths. Loss of a gene from the alphaproteobacterial sister clade would result in an increase of the inferred stem lengths ('vertical transmission/pre-mito HGT from alpha'). The transfer of a gene from the protoeukaryotic lineage to other bacterial clades would result in shorter stem lengths compared with the

alphaproteobacterial mappings ('post-mito HGT from protoeukaryote'). **c**, Upon total exclusion of alphaproteobacterial sequences ('NO alpha sampling'), eukaryotic families map to other bacterial groups but with stem length higher than those observed typically. The same is observed when comparing the stem lengths of the families mapping to proteobacterial groups in the absence of Alphaproteobacteria with those typically mapping to proteobacterial groups other than Alphaproteobacteria. **d**, Box plots showing that there are no significant differences in the stem lengths between alphaproteobacterial families with mitochondrial localization compared with those with other subcellular localizations (left), or between families involved in energy-related functions compared with those involved in other functional categories (right). **e**, Box plot showing no significant difference between the distribution of stem lengths of families of Rickettsiales-inferred origin and other Alphaproteobacteria. **f**, Alphaproteobacterial families in different functional categories show no difference in stem lengths. In all cases the distributions were compared using a two-sided Mann-Whitney *U*-test. See also Supplementary Information sections 4 and 5.



Extended Data Figure 7 | LECA inference and Lokiarchaeota. Results after the inclusion of Lokiarchaeota in our analysis. **a**, The distribution of the sister group inference among prokaryotic taxonomy is shown in a ring plot together with the number of families in each group in parentheses (as in Extended Data Fig. 1). **b**, Box plot showing the stem length profiles of the various prokaryotic groups. Lokiarchaeota show the lowest values among all archaeal groups but higher values than any bacterial group. The symbols correspond to the same *P* values explained in Extended Data Fig. 1 after applying a permutation test (10^6 permutations) for the archaeal and bacterial components, independently. **c**, Box plot with the comparison between the non-Loki archaeal, the Lokiarchaeota and the bacterial

stem length profiles. The *P* value symbols are as before (two-sided Mann–Whitney *U*-test, correction for false discovery rate). **d**, Schematic representation of the effect of the absence of *Lokiarchaeum* sequences on the stem lengths. The inferred origin of 30 eukaryotic families that were previously mapped to other, mainly archaeal, groups within the eggNOG version 4 database, is Lokiarchaeota, when homologous sequences from this metagenome are included. A reduction in the observed stem lengths of the families of Lokiarchaeota-inferred origin is expected in the scenario of Lokiarchaeota being the closest known archaeal relative of Eukaryotes. See also Supplementary Information section 6.



Extended Data Figure 8 | Correspondence of different LECA components with different cellular localizations and functions (extended version of Fig. 3). a–d, Different LECA components have different GO cellular components (a, c) and functional (b, d) profiles. Genes of different origin tend to have different functions and subcellular localizations. a, b, The same correspondence analysis symmetrical biplots as in Fig. 3 in higher resolution, with the names of the taxonomic group, the function and the GO slim terms indicated next to the coordinates. The percentage of variance explained by each principal component is indicated next to each axis in

parentheses. c, d, The contingency tables also used in correspondence analysis are shown in the form of a heatmap. The asterisks in the different cells reflect the significance of the association between a given origin and a localization (c) or function (d), as computed using permutation tests (10^6 permutations), where the annotations among each eukaryotic family were reshuffled (see Methods). The correspondence between the symbols and the *P* values is as in Extended Data Figs 1 and 3. e, The COG functional categories, as organized in the three major groups ‘information storage and processing’, ‘cellular processes and signalling’ and ‘metabolism’.